PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/748.523 RANSMITTAL Filing Date 12/30/2003 **FORM** First Named Inventor Michael Chiviendacz Art Unit all correspondence after initial filing) ina **Examiner Name** lna Attorney Docket Number 10500.03.0716 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please **Terminal Disclaimer** Extension of Time Request Identify below): -IDS cover sheet Request for Refund Express Abandonment Request -return postcard CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Christopher J. Reckamp, Reg. No. 34,414 Individual name Signature Date **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Christine A. Wright

4

Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

PATENT APPLICATION

HE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Michael Chiviendacz et al.

Examiner: na

Serial No.:

10/748,523

Art Unit: na

Filing Date:

12/30/2003

Docket No.: 10500.03.0716

Title: METHOD AND APPARATUS FOR SECURELY PROVIDING IDENTIFICATION INFORMATION USING TRANSLUCENT

IDENTIFICATION MEMBER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Certificate of First Class Mailing
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Commissioner for Patents, P. O. Box 1450, Alexandria, VA

5-504

22313-1450, on this date:,

Date

Christine A. Wright

INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 CFR §§ 1.97(C) AND 1.98

Pursuant to 37 CFR §§ 1.97(c) and 1.98, Applicants respectfully submit the following statement consisting of:

- 1. A list of documents; and
- 2. General remarks.

A copy of the listed document is enclosed herewith along with Form PTO/SB/08A.

1. Documents

- a. U.S. Patent No. 3,719,801, Drexler, issued March 6, 1973;
- b. U.S. Patent No. 4,445,712, Smagala-Romanoff, issued May 1, 1984;
- c. U.S. Patent No. 4,936,778, Setchell et al., issued June 26, 1990; and
- d. U.S. Patent No. 5,712,627, Watts, issued January 27, 1998.

REMARKS

No item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement and before the issuance of a first office action. As such, there is no fee due for the filing of such information disclosure statement.

The submission of the above documents is not an admission that the information is prior art, analogous or otherwise material. It is respectfully requested that the above-listed documents be considered and made of record in the present application.

Respectfully submitted,

Date: 5/5/04

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1

(Use as many sheets as necessary)

of 1

Complete if Known				
Application Number	10/748,523			
Filing Date	12/30/2003			
First Named Inventor	Michael Chiviendacz			
Art Unit	na			
Examiner Name	na			
Attorney Docket Number	10500 03 0716			

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 3,719,801	03-06-1973	Drexler	
		^{US-} 4,445,712	05-01-1984	Smagala-Romanoff	
		US- 4,936,778	06-26-1990	Setchell et al.	
		^{US-} 5,712,627	01-27-1998	Watts	
		US-			

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	76
			,			

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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